



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/055,511
Filing Date	January 23, 2002
First Named Inventor	Matthew L. Parsons et al.
Group Art Unit	3731
Examiner Name	Unassigned
Attorney Docket Number	5224

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
[Signature]		US-2001/0041827	11-15-2001	Spence et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
[Signature]		EP 0919193	06-02-99	Ethicon		
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		WO 01/17437	03-15-01	Cardiothoracic		
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		WO 99/60929	12-02-99	Guidant		
[Signature]						

Examiner  
Signature

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Date  
Considered

4/23/03

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY DOCKET  
NO.

71710-56440

SERIAL NO.

10/055,511

APPLICANT(S): M. Parsons, et al.

FILING DATE:  
01/23/2002ART UNIT:  
3731

## UNITED STATES PATENT DOCUMENTS

EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLAS S	SUB CLAS S	FIL. DATE IF APPR
	AA	3,720,433	03/13/1973	A.M. Rosfelder	294		09/29/70
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN YES/NO
	BA	WO 97/10753	03/27/1997	PCT	6		YES

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

<i>sh</i>	CA	European Search Report attached to Reference BA
Examiner: <i>Shank</i>	Date: <i>6/23/03</i>	

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